

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Docket: OKU= 10

In re Application of:

(Conf. No.: 5593

Art Unit: 1614

Appln. No.: 10/551,765

Examiner: Not Yet Known

Washington, D.C.

For: LIPID-REGULATING AGENTS...

February 12, 2007

INFORMATION DISCLOSURE STATEMENT [IDS]

Honorable Commissioner for Patents U.S. Patent and Trademark Office Customer Service Window Randolph Building, Mail Stop Amendment 401 Dulany Street Alexandria, VA 22314

Sir:

This Information Disclosure Statement is submitted in accordance with 37 CFR §§1.97, 1.98, and it is requested that the information set forth in this statement and in the listed documents be considered during the pendency of the above-identified application, and any other application relying on the filing date of the above-identified application or cross-referencing it as a related application.

- 1. This IDS should be considered, in accordance with 37 CFR §1.97, as it is filed before the mailing date of a first office action on the merits or before the mailing of a first Office action after the filing of a Request for Continued Examination under 37 CFR §1.114.
- 2. In accordance with 37 CFR §1.98, this IDS includes a list (e.g., form BN/SB/08A/B) of all patents, publications, or other information submitted for consideration by the office, either incorporated into this IDS or as an attachment hereto. Other than U.S. patent(s) and/or published U.S. application(s),

.. In re Appln. No. 10/551,765

which 37 CFR §1.98(a)(2)(ii) does not require to be filed unless specifically required by the Office, a copy of each document listed is attached.

- 3. No explanation of relevance is necessary for documents in the English language (see reply to Comments 67 and 68 in the preamble to the final rules; 1135 OG 13 at 20).
- 4. Other information being provided for the examiner's consideration follows:

Supplementary European Search Report

5. In accordance with 37 CFR §§1.97(g) and (h), the filing of this IDS should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in 37 CFR §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant reserves the right to prove that the date of publication is in fact different.

Respectfully submitted,

BROWDY AND NEIMARK
Attorneys for Applicant(s)

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	1	of	2

Complete if Known			
Application Number	10/551,765		
Filing Date	October 3, 2005		
First Named Inventor	Kazuyuki OKU		
Group Art Unit	1614		
Examiner Name	Not Yet Known		
Attorney Docket Number	OKU=2		

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (Il known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	AA	US-5,786,196 A	07-28-1998	COTE et al		
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FOREIGN PATENT DOCUMENTS						
		Foreign Patent Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines,	
Examiner Initials*	Cite No. ¹	Country Code ³ Number ⁴ Kind Code ⁵ (<i>if known</i>)	WIWI-DD-1111	of Cited Document	Where Relevant Passages or Relevant Figures Appear	T⁵
	AB	EP 1 460 081 A!	09-22-2004	Kabushiki Kaisha Havashibara Seibutsu Kagaku Kenkyujo		
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Examiner	Date	
Signature	Considered	

^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language Translation is attached.



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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Application Number 10/551,765
Filing Date October 3, 2005
First Named Inventor Kazuyuki OKU
Group Art Unit 1614
Examiner Name Not Yet Known
Attorney Docket Number OKU=2

(use as many sheets as necessary)

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS / OTHER INFORMATION Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where Examiner Cite T² nitials* published G.L. COETE et al, "Enzymically produced cyclic alpha- 1,3-linked and alpha - 1,6-linked oligosaccharides of D-Glucose", EUROPEAN JOURNAL OF BIOCHEMISTRY, BERLIN, DE, Vol. 226, 1994 pages 641-648, XP002945423, ISSNP: 0014-2956 P. BIELY et al, "Enzymic alpha-galactosylation of a cyclic glucotetrasaccharide derived from alternan", CARBOHYDRATE RESEACH, ELSEVIER SCIENTIFIC PUBLISHING COMPANY, AMSTERDAM, NL, Vol. 332, No. 3, 4, pages 299-303, XP004249620, June 2001, ISSN: 008-6215 AGA, HAJIME et al, "Production of Cyclic Tetrasaccharide from Starch Using a Novel Enzyme System from Bacillus globisporus C11", JOURNAL OF BIOSCIENCE AND BIOENGINEERING, 94(4), 336-342 CODEN: JBBIF6: ISSN: 1389-1723, 2002, XP002408856

Examiner	Date	
Signature	Considered	

^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.